

FIGURE 5. Organizational Chart



VII. COMPLIANCE WITH STANDARD TERMS AND CONDITIONS

Our Program has been developed in compliance with all of CALFED's standard terms and conditions presented in Attachment E of the May 1998 PSP. The SJRCD has reviewed and will comply with CALFED's standard terms and conditions. We can also work with any reasonable contract terms that may depend on the source of funds. We understand that the contract terms will apply to any subcontracts we may enter into to complete this work. We have no conflicts of interest in performing this work.

We will comply with all "standard clauses/proposal requirements" that our funded elements are subject to. As a "public" applicant, the SJRCD must submit, as part of this proposal, evidence of non-discrimination compliance and noncollusion. This evidence is attached to this submittal. All applicable standard clauses and proposal requirements will be submitted or complied with before or at the signing of the final contract.

In addition, the Program applicant and participants/collaborators assisting in implementing this Program have no real or perceived conflict of interest, and the Program is designed to comply with all applicable laws and regulations, does not prejudice the ultimate decision on the CALFED long-term program, and involves willing, voluntary participants.

Letters of support for this Program are attached and identified as Attachment A.

U.S. Department of the Interior

**Certifications Regarding Debarment, Suspension and
Other Responsibility Matters, Drug-Free Workplace
Requirements and Lobbying**

Persons signing this form should refer to the regulations referenced below for complete instructions:

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions - The prospective primary participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions. See below for language to be used or use this form for certification and sign. (See Appendix A of Subpart D of 43 CFR Part 12.)

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions - (See Appendix B of Subpart D of 43 CFR Part 12.)

Certification Regarding Drug-Free Workplace Requirements - Alternate I. (Grantees Other Than Individuals) and Alternate II. (Grantees Who are Individuals) - (See Appendix C of Subpart D of 43 CFR Part 12)

Signature on this form provides for compliance with certification requirements under 43 CFR Parts 12 and 18. The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of the Interior determines to award the covered transaction, grant, cooperative agreement or loan.

PART A: Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions

CHECK ☒ IF THIS CERTIFICATION IS FOR A PRIMARY COVERED TRANSACTION AND IS APPLICABLE.

(1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;
- (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

(2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

PART B: Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions

CHECK ☐ IF THIS CERTIFICATION IS FOR A LOWER TIER COVERED TRANSACTION AND IS APPLICABLE.

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

PART C: Certification Regarding Drug-Free Workplace Requirements

CHECK X IF THIS CERTIFICATION IS FOR AN APPLICANT WHO IS NOT AN INDIVIDUAL

Alternate I. (Grantees Other Than Individuals)

A. The grantee certifies that it will or continue to provide a drug-free workplace by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an ongoing drug-free awareness program to inform employees about--
 - (1) The dangers of drug abuse in the workplace;
 - (2) The grantee's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will --
 - (1) Abide by the terms of the statement; and
 - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- (e) Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification numbers(s) of each affected grant;
- (f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted --
 - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a) (b), (c), (d), (e) and (f).

B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

1222 Monaco CT., Suite 23

San Joaquin County

Stockton, CA 95207-6742

Check if there are workplaces on file that are not identified here.

PART D: Certification Regarding Drug-Free Workplace Requirements

CHECK IF THIS CERTIFICATION IS FOR AN APPLICANT WHO IS AN INDIVIDUAL

Alternate II. (Grantees Who Are Individuals)

- (a) The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;
- (b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to the grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

PART E: Certification Regarding Lobbying
Certification for Contracts, Grants, Loans, and Cooperative Agreements

CHECK ☒ IF CERTIFICATION IS FOR THE AWARD OF ANY OF THE FOLLOWING AND THE AMOUNT EXCEEDS \$100,000: A FEDERAL GRANT OR COOPERATIVE AGREEMENT; SUBCONTRACT, OR SUBGRANT UNDER THE GRANT OR COOPERATIVE AGREEMENT.

CHECK ☐ IF CERTIFICATION IS FOR THE AWARD OF A FEDERAL LOAN EXCEEDING THE AMOUNT OF \$150,000, OR A SUBGRANT OR SUBCONTRACT EXCEEDING \$100,000, UNDER THE LOAN.

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

As the authorized certifying official, I hereby certify that the above specified certifications are true.

San Joaquin County Resource Conservation District


SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL

John B. Meek Jr., President

TYPED NAME AND TITLE

DATE

July 1, 1998

ATTACHMENT A

Letters of Support



DENNIS M. DIEMER
GENERAL MANAGER

June 26, 1998

John B. Meek, Jr.
San Joaquin County Resource Conservation District
1222 Monaco Court, #23
Stockton, CA 95207

Dear Mr. Meek:

Thank you very much for the opportunity to review your proposal to develop a local, consensus-based watershed plan for the lower Mokelumne River. The District is supportive of such a community-based program that implements non-flow riparian rehabilitation and compatible land use activities to enhance and protect the lower Mokelumne River. The District's proposed Lower Mokelumne River Joint Settlement Agreement (FERC Project No. 2916-004), which provides protection and enhancement for the anadromous fishery and ecosystem of the lower Mokelumne River, encourages cooperative actions such as your proposal to achieve and maintain these objectives over time.

Together with the District's proposed Lower Mokelumne River Joint Settlement Agreement and the Woodbridge Irrigation District/City of Lodi's Lower Mokelumne River Restoration Program, which is already in progress, the funding and implementation of your proposal will result in substantial further progress in achieving the CALFED Vision for the Mokelumne River.

I offer the District's full support and cooperation in developing the proposed plan, and encourage other stakeholders in the lower Mokelumne River to support your efforts.

Very truly yours,

A handwritten signature in cursive script that reads 'Dennis M. Diemer'.

Dennis M. Diemer
General Manager

DMD:JMS:jms

375 ELEVENTH STREET . OAKLAND . CA 94607-4240 . (510) 267-0101
BOARD OF DIRECTORS JOHN A. COLEMAN . KATY FOULKES . JOHN M. GIOIA
FRANK MFLON . WILLIAM B. PATTERSON . MARY SELKIRK . DANNY W. WAN





Community
Alliance with
Family
Farmers

June 25, 1998

John B. Meek
San Joaquin County Resource Conservation District
1222 Monaco Court, #23
Stockton, CA 95207

Dear John,

The Community Alliance with Family Farmers supports the development of a community based watershed management plan for the lower Mokelumne River Watershed. A watershed planning process which involves the local agricultural community will complement other CAFF-sponsored activities in the area, especially our Biologically Integrated Orchard Systems (BIOS) program.

CAFF's BIOS program provides information and technical support to Central Valley almond and walnut growers who want to reduce their reliance on pesticides and synthetic fertilizers. The BIOS approach to orchard management includes techniques such as planting cover crops, using biological control for pest management, and creating on-farm habitat for beneficial insects and wildlife. CAFF currently sponsors an almond BIOS project in San Joaquin County. We have recently begun working with local walnut growers, some of whom farm in the lower Mokelumne River Watershed.

The San Joaquin BIOS project already enjoys collaborative relationships with many Mokelumne River Watershed stakeholders, including almond and walnut growers, pest control advisors, UC Cooperative Extension, East Bay MUD, the USDA Natural Resources Conservation Service and Delta College. These partnerships have enabled us to pool resources, services and outreach efforts to provide the maximum possible support to almond and walnut growers who want to farm with fewer chemicals.

It is our belief that the resource stewardship issues within the lower Mokelumne River Watershed can be most effectively addressed through a community based effort that involves the agricultural operators who farm in the watershed.

Sincerely,

Jim Klein
Program Director

P.O. Box 363 Davis, CA 95617
ph: 916/756-8318 fax: 916/756-7857 e-mail: caff@caff.org www.caff.org

CITY COUNCIL

JACK A. SIEGLOCK, Mayor
KEITH LAND

Mayor Pro Tempore
ROBERT J. JOHNSON
STEPHEN J. MANN
PHILLIP A. PENNING

CITY OF LODI

CITY HALL, 221 WEST PINE STREET
P.O. BOX 3006
LODI, CALIFORNIA 95241-1910
(209) 333-6702
FAX (209) 333-6807

H. DIXON FLYNN
City Manager
ALICE M. REIMCHE
City Clerk
RANDALL A. HAYS
City Attorney

July 2, 1998

Mr. John Meek, Jr.
President, SJCRCD
1222 Monaco Court, #23
Stockton, CA 95207

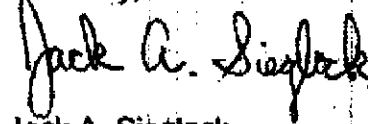
Dear Mr. Meek:

Thank you for consulting with the City of Lodi regarding the Lower Mokelumne River Watershed Stewardship Plan. The City Council, during its July 1, 1998, City Council meeting, unanimously voted to support the San Joaquin County Resource Conservation District's (SJCRCD) grant application to facilitate development of a community-based plan for the Lower Mokelumne watershed.

If you are successful in receiving the grant, we would appreciate the opportunity to participate in the development of the plan because of our interest in the Mokelumne River and its impacts to our region.

Thank you once again for including us in this preliminary process. Please do not hesitate to contact me should you have any questions.

Sincerely,



Jack A. Sieglock
Mayor

JAS/JSK/jmp

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LIST OF ACRONYMS

AFRP	Anadromous Fish Restoration Program
CSUS	California State University, Sacramento
EBMUD	East Bay Municipal Utility District
EFP	Environmental Farm Plan
EPA	Environmental Protection Agency
ERPP	Ecosystem Restoration Program Plan
IFP	Integrated Farm Plan
LMR	Lower Mokelumne River
LMRW	Lower Mokelumne River Watershed
LMRWSP	Lower Mokelumne River Watershed Stewardship Program
LWWC	Lodi-Woodbridge Winegrape Commission
NRCS	Natural Resources Conservation Service
PRBO	Point Reyes Bird Observatory
SJRCD	San Joaquin County Resource Conservation District